PATENT S/N NEW FILING

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

VANDEN HOEK ET AL. Examiner:

UNKNOWN

Serial No.:

NEW FILING

Group Art Unit:

UNKNOWN

Filed:

HEREWITH

Docket No.:

11998.18USC3

Title:

DELIVERY OF CARDIAC CONSTRAINT JACKET

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV322884385US

Date of Deposit: January 29, 2004

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Teresa Anderson

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent applications, U.S. Serial Nos. 10/226,580 filed on August 23, 2002, 09/921,475 filed August 3, 2001, now U.S. Patent No. 6,579,226, and 09/483,567 filed January 14, 2000, now U.S. Patent No. 6,293,906. In accordance with 37 C.F.R. § 1.98(a)(2)(i), a copy of U.S. Patent Nos. 6,293,906 and 6,579,226 are not provided, as this application was filed after June 30, 2003.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P. O. Box 2903 Minneapolis, Minnesota 55402-0903 612.332.5300

Date January 28, 2004

Anna M. Nelson

Reg. No. 48,935 AMN:PLStdm

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FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 11998.18USC3	Application Number: New Filing		
IN AN APPLICATION	Applicant: Vanden Hoek et al.			
(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unknown		

			U.S. PATENT DOCUME	NTS				
EXAMINER INITIAL	DOCUMENT	NO. DATE	NAME	CLASS	SUBCLASS		G DATE OPRIATE	
	3,983,863	10/1976	Janke et al.					
	4,048,990	09/1977	Goetz					
	4,403,604	09/1983	Wilkinson et al.					
	4,428,375	01/1984	Ellman					
	4,630,597	12/1986	Kantrowitz et al.					
	4,690,134	09/1987	Snyders					
	4,821,723	04/1989	Baker, Jr. et al.					
	4,834,707	05/1989	Evans					
	4,878,890		Bilweis					
4,936,857		06/1990	Kulik					
	4,957,477		Lundback					
_		FOREIGN PATENT DOCUMENTS						
	DOCUMENT N	NO. DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION	
						YES	NO	
	1009457	04/1983	SU			Abstract		
	60-203250	10/1985	JP			Abstract		
	0 280 564	08/1988	EP					
	3831540		DE			Abstract		
	ОТ	HER DOCUMENT	'S (Including Author, Title,	Date, Pertinent I	Pages, Etc.)			
	"Abs Amer	tracts From the 68th Scrican Heart Association	ientific Sessions, Anaheim Co Supplement to Circulation, Vo	nvention Center, Andrews	naheim, California, l acts 1810-1813 (Oct	November 13-16 ober 15, 1995)	5, 1995",	
	impro	ovement by discordant	ine and nitroprusside infusion in effects on mitral regurgitation, 1089-1098 (December 1997).	left atrial function,	ere congestive heart and ventricular fund	failure: Hemody tion", American	mamic Heart	
		uya et al., "Girdling Eficons, Vol. 56, pp. 867-8	fect of Nonstimulated Cardiom 71 (1993).	yoplasty on Left V	entricular Function"	, The Society of	Thoracic	

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EXAMINER	DATE CONSIDERED

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FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 11998.18USC3	Application Number: New Filing		
IN AN APPLICATION	Applicant: Vanden Hoek et al.			
(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unknown		

EXAMINER INITIAL	DOCUM	ENT NO.	DATE	NAME	CLASS	SUBCLASS		G DATE OPRIATE
	4,973,300		11/1990	Wright				
	4,976,730		12/1990	Kwan-Gett				
	5,057,117		10/1991	Atweh				
<u></u>	5,087,243		02/1992	Avitall				
	5,131,905		07/1992	Grooters				
	5,150,706		09/1992	Cox et al.				
	5,186,711		02/1993	Epstein				
	5,192,314		03/1993	Daskalakis				
	5,256,132		10/1993	Snyders				
	5,290,217 5,356,432		03/1994	Campos				
			10/1994	Rutkow et al.				
			FC	DREIGN PATENT DOCUME	NTS	•		
	DOCUM	ENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
							YES	NO
	2209678		05/1989	GB .			Abstract	
	01-145066		06/1989	JP			Abstract	
3831540 C 295 17 393 UI	3831540 C		06/1983	DE			Abstract	
	03/1996	DE (English Language)						
		ОТН	ER DOCUMEN	TS (Including Author, Title, Da	te, Pertinent Page	es, Etc.)		
		Cohn, "The (August 15,		Chronic Heart Failure", The Nev	v England Journa	l of Medicine, Vol.	335, No. 7, pp. 4	490-498
*		Coletta et al., "Prognostic value of left ventricular volume response during dobutamine stress echocardiography", Europ Heart Journal, Vol. 18, pp. 1599-1605 (October 1997).					European	
				iva para el tratamiento de la mio 8).	ocardiopatia dilata	nda", Revista Espan	ola de Cardiolog	gia, Vol. 51
····-		No. 7, pp. 521-528 (July 1998). Kass et al., "Reverse Remodeling From Cardiomyoplasty in Human Heart Failure", Circulation, Vol. 91, No. 9, pp. 23 (May 1, 1995).					o. 2314-231	

EXAMINER DATE CONSIDERED		
DATE GONOBLINED	EXAMINER	DATE CONSIDERED

Date Mailed: January 25, 2004

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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION		Docket Number: 11998.18USC3	Application Number: New Filing
		Applicant: Vanden Hoek et al.	
	(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unknown

EXAMINER INITIAL	DOCUMENT NO	DATE	NAME	CLASS	SUBCLASS		G DATE OPRIATE
·	5,366,460	11/1994	Eberbach				01101112
	5,383,840	01/1995	Heilman et al.				
	5,385,156	01/1995	Olivia				
	5,429,584	07/1995	Chiu				- *-
	5,405,360	04/1995	Tovey				
	5,507,779	04/1996	Altman				
	5,524,633	06/1996	Heaven et al.				
	5,603,337	02/1997	Jarvik				
	5,647,380	07/1997	Campbell et al.				
	5,702,343	12/1997	Alferness				
	5,713,954	02/1998	Rosenberg et al.			· ·	
		F	OREIGN PATENT DOCUME	NTS			
	DOCUMENT NO	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
						YES	NO
	295 17 393 UI	03/1996	DE (German Language)				
	WO 96/16601	06/1996	PCT				
	WO 98/29041	07/1998	PCT				
	WO 98/58598	12/1998	PCT (English Language)				
	(THER DOCUMEN	NTS (Including Author, Title, Date	te, Pertinent Page	es, Etc.)		
	Oh et a Cardio	l., "The Effects of Promyopathy", The Journ	osthetic Cardiac Binding and Adynal of Thoracic and Cardiovascul	namic Cardiomy ar Surgery, Vol.	oplasty In A Model (116, No. 1, pp. 148-1	Of Dilated 53 (July 1998)	•
		"Two-Bar Fabrics (P , p. 111 (1970).	'art-Set Threading)", Warp Knitti	ng Technology, (Columbine Press (Pub	olishers) Ltd., E	Buxton, Gr
	Vaynb	at et al., "Cardiac Bir	nding in Experimental Heart Failu	ire", Ann Thorac	Surg. Vol. 64 (1997)		

EXAMINER	DATE CONSIDERED	

Date Mailed: January 22, 2004

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FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: Application Number: 11998.18USC3 New Filing	
IN AN APPLICATION	Applicant: Vanden Hoek et al.	
(Use several sheets if necessary)	Filing Date: Herewith Group Art Unit: Unknown	

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS		G DATE OPRIATE
	5,766,216	06/1998	Gangal et al.				
	5,800,528	09/1998	Lederman et al.				
	5,928,250	07/1999	Koike et al.				
	5,961,440	10/1999	Schweich, Jr. et al.				
	5,990,378	11/1999	Ellis				
	6,077,218	06/2000	Alferness				
	6,085,754	07/2000	Alferness et al.				
	6,123,662	09/2000	Alferness et al.				
	6,126,590	10/2000	Alferness				
	6,155,968	12/2000	Wilk				
	6,165,121	12/2000	Alfemess				
		F	OREIGN PATENT DOCUMEN	NTS			
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
			·			YES	NO
	WO 98/58598	12/1998	PCT (German Language)				
	WO 99/44534	09/1999	PCT				
	WO 01/67985 A1	09/2001	PCT				
			·				
	TTO	IER DOCUMEN	TS (Including Author, Title, Dat	e, Pertinent Page	es, Etc.)		•
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EXAMINER	DATE CONSIDERED

Date Mailed:	January	<u>&</u> ,	2004
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FORM 1449* INFORMATION DISCLOSURE STATEMENT		Docket Number: 11998.18USC3	Application Number: New Filing			
IN AN APPLICATION			Applicant: Vanden Hoek et al.			
		(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unknown		

EXAMINER	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING	G DATE
INITIAL DOCUMENT NO.		DATE		05.100	50502105	IF APPROPRIATE	
	6,165,122	12/2000	Alferness				
	6,179,791 B1	01/2001	Krueger				
	6,241,654 B1	06/2001	Alferness				
	6,293,906	09/2001	Vanden Hoek et al.				
	6,375,608 B1	04/2002	Alferness				
	6,416,459 B1	07/2002	Haindl				
	6,425,856 B1	07/202	Shapland et al.				
6,482,146 B1	11/2002	Alferness et al.					
	6,537,203 B1	03/2003	Alferness et al.				
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	09/25/2001	Vanden Hoek et al.					
	6,579,226 B2	06/17/2003	Vanden Hoek et al.				
		FO	REIGN PATENT DOCUMI	ENTS			
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SS SUBCLASS	TRANSLATION	
						YES	NO

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	ОТН	ER DOCUMENT	TS (Including Author, Title, D	ate, Pertinent Page	s, Etc.)		·
							
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